

1645

PTO/SB/21 (05-03)

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Application Number	10/008,244
Filing Date	November 7, 2001
First Named Inventor	Huang, Alan
Art Unit	1645
Examiner Name	To Be Assigned
Attorney Docket Number	018781-005510US

Total Number of Pages in This Submission 6

## ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
  - ☐ Petition to Convert to a Provisional Application
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- ☐ After Allowance Communication to Group
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- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
Return Postcard; References (43).

Remarks

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual

Townsend and Townsend and Crew LLP  
Joseph R. Snyder

Reg. No. 39,381

Signature

Date

September 15, 2003

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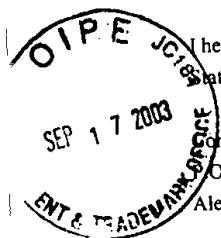
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By: *Jon [Signature]*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

PATENT  
Attorney Docket No.: 018781-005510US

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In re application of:

Alan Huang et al.

Application No.: 10/008,244

Filed: November 7, 2001

For: STAT MODULATORS

Examiner: To Be Assigned

Art Unit: 1645

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

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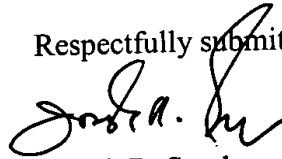
Sir:

The references cited on the attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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Respectfully submitted,



Joseph R. Snyder  
Reg. No. 39,381

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60033536 v1



PTO/SB/08A (08-03)

Substitute for Form PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	10/008,244
Filing Date	November 7, 2001
First Named Inventor	Huang, Alan
Art Unit	1645
Examiner Name	To Be Assigned
Attorney Docket Number	018781-005510US

**RECEIVED**  
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Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1.	5,591,825		01-07-1997	McKnight et al.	
	2.	5,639,858		06-1997	Hoey et al.	
	3.	5,710,266		01-1998	McKnight et al.	
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	7.	6,426,331 B1		07-30-2003	McKinney et al.	

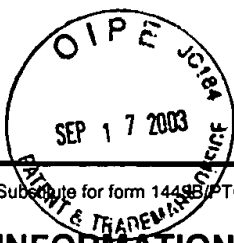
**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	8.	JP	10175964		06-30-1998	Sumitomo Seiyaku KK		
	9.	JP	10175965		06-30-1998	Sumitomo Seiyaku KK		
	10.	JP	11106340		04-20-1999	Sumitomo Seiyaku KK		
	11.	JP	11116481		04-27-1999	Sumitomo Seiyaku KK		
	12.	JP	2000 229959	A1	08-22-2000	SumitomoChem Co Ltd		
	13.	WO	97/05162	A1	02-13-1997	BASF Aktiengesellschaft		
	14.	WO	98/31704	A1	07-23-1998	Novartis Pharm.		
	15.	WO	99/24442	A1	05-20-1999	Ariad Pharm. Inc.		
	16.	WO	99/50283	A1	10-07-1999	Tularik Inc.		
	17.	WO	00/027802	A1	05-18-2000	Ariad Pharm. Inc.		
	18.	WO	01/83517	A1	11-08-2001	Tularik Inc.		

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Sheet 2 of 3

**Complete if Known**

Application Number	10/008,244
Filing Date	November 7, 2001
First Named Inventor	Huang, Alan
Art Unit	1645
Examiner Name	To Be Assigned
Attorney Docket Number	018781-005510US

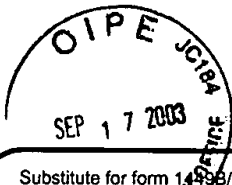
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Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	19.	ANONYMOUS, "STAT antibodies" Research Diagnostics, pp.1-9, March 26, 1998	
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	21.	ANONYMOUS, "The jak-STAT mechanism of signal transduction" The Cytokine Bulletin pp. 1-6 (1995) at <http://www.mdsystems.com/asp/b> (visited on January 8, 2003)	
	22.	BLOMMERS et al., "Transferred cross-correlated relaxation complements transferred NOE: Structure of an IL-4R-derived peptide bound to STAT-6" J. Am. Chem. Soc. 121(9):1949-1953 (1999)	
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**INFORMATION DISCLOSURE  
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Sheet 3 of 3

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Application Number	10/008,244
Filing Date	November 7, 2001
First Named Inventor	Huang, Alan
Art Unit	1645
Examiner Name	To Be Assigned
Attorney Docket Number	018781-005510US

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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	36.	SCHINDLER, C. et al., "Transcriptional responses to polypeptide ligands: The JAK-STAT pathway" Annu. Rev. Biochem. 64:621-51 (1995)	
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Examiner Signature		Date Considered	
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